

**PASSIVE FOREIGN INVESTMENT COMPANY (PFIC)  
ANNUAL INFORMATION STATEMENT**

**Name of PFIC** AFFIMED N.V.  
AFFIMED GMBH

**Address** Technologiepark, Im Neuenheimer Feld 582  
D-69120 Heidelberg, Germany

**PFIC's EIN** N/A

**Country of Organization** The Netherlands (Affimed N.V.)  
Germany (Affimed GmbH)

- (1) This Information Statement applies to the taxable year of AFFIMED N.V. and, its wholly-owned subsidiary, AFFIMED GMBH, beginning January 1, 2016 and ending on December 31, 2016.
- (2) Shareholders should calculate their pro-rata share of Ordinary Earnings and Net Capital Gain for the taxable year of AFFIMED, N.V. and AFFIMED GMBH based on below company totals:

<b>Company</b>	<b>Parent's ownership percentage in subsidiary</b>	<b>Ordinary Income</b>	<b>Net Capital Gain</b>	<b>Distribution of Cash</b>	<b>Distribution of fair market value of property</b>
Affimed, N.V.	Parent	None	None	None	None
Affimed GmbH	100%	None	None	None	None

- (3) The amount of cash and fair market value of other property distributed or deemed distributed by AFFIMED, N.V. and AFFIMED GMBH during the taxable year are specified in paragraph (2).
- (4) AFFIMED, N.V. and AFFIMED GMBH will permit shareholders to inspect and copy its permanent books of account, records, and such other documents as may be maintained by AFFIMED, N.V. and AFFIMED GMBH that are necessary to establish that its PFIC Ordinary Earnings and Net Capital Gain, as provided in Section 1293(e) of the Code, are computed in accordance with U.S. income tax principles and the shareholder's pro rata shares thereof.

/s/ Adi Hoess /s/ Florian Fischer  
Signature of Authorized Representative

April 3, 2017  
Date

CEO/CFO  
Title of Authorized Representative

THIS INFORMATION IS PROVIDED IN ORDER TO ASSIST THOSE SHAREHOLDERS WHO HAVE MADE A "QUALIFIED ELECTING FUND" ELECTION UNDER U.S. FEDERAL TAX LAW WITH RESPECT TO AFFIMED, N.V. AND AFFIMED GMBH IN MAKING CERTAIN PFIC CALCULATIONS AND DOES NOT CONSTITUTE TAX ADVICE. SHAREHOLDERS ARE ADVISED TO CONSULT THEIR OWN TAX ADVISORS CONCERNING THE OVERALL TAX CONSEQUENCES OF THE OWNERSHIP OF SHARES IN THEIR PARTICULAR SITUATIONS UNDER UNITED STATES FEDERAL, STATE, LOCAL AND NON-U.S. LAW.